



IN THE UNITED STATES OF AMERICA PATENT AND TRADEMARK OFFICE

APPLICANT: Jerry ten Brink and Joshua ten Brink

FILING DATE: March 6, 2001

SERIAL NO.: 09/800,285

ATTY. DOCKET NO.: 9611.001

TITLE: System and Method for Trading Commodities

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

Attention: Application Processing Division, Special Processing and Correspondence Branch

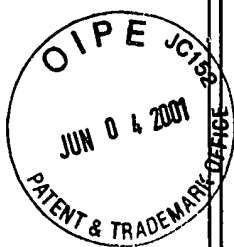
RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Applicants are responding to a Notice to File Missing Parts of Non Provisional Application, (filing date accorded) dated April 17, 2001. The Notice to File Missing Parts (Filing Date Accorded) indicates that the oath or declaration is missing as well as the filing fee for the oath or declaration. In response please find enclosed for filing the Combined Declaration and Power of Attorney, along with a Verified Statement Claiming Small Entity Status (Ind. Inv.), a Verified Statement Claiming Small Entity Status (Business Concern) and the check for \$65.00.

Applicant does not believe that any additional charges are due at this time; however, to the extent that this is not correct, the Commissioner is hereby authorized to charge any discrepancy in the fees to Deposit Account No. 18-2210.

Respectfully submitted,

R. BENNETT FORD, Reg. No. 39,193  
ROY, KIESEL, KEEGAN & DENICOLA  
P. O. Box 15928  
Baton Rouge, Louisiana 70895  
(225) 927-9908



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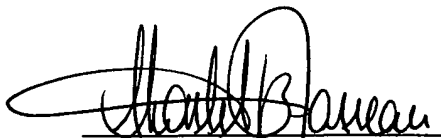
ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that the following paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231:

- Notice to File Missing Parts of Non-Provisional Application,
- Completion of Filing Requirements or Response to Notice of Informal Application,
- Executed Declaration of Jerry ten Brink and Joshua ten Brink,
- Transmittal Form,
- Check for Sixty Five Dollars (\$65.00),
- Certificate of Mailing, and
- Return Postcard

6/1/01  
Date

  
Shontel R. Jarreau



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/800,285	03/06/2001	Jerry ten Brink	9611.001

CONFIRMATION NO. 4656

## FORMALITIES LETTER



\*OC000000005976574\*

R. Bennett Ford  
ROY, KIESEL & TUCKER  
P.O. Box 15928  
2355 Drusilla Lane  
Baton Rouge, LA 70895-5928

Date Mailed: 04/17/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/06/2001 HVUONG1 00000062 09800285

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65.00 OP



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14-A  
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/800,285	
	Filing Date	March 6, 2001	
	First Named Inventor	ten Brink	
	Group Art Unit	2161	
	Examiner Name		
Total Number of Pages in This Submission	1	Attorney Docket Number	9611.001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):  Declaration of Jerry ten Brink and Joshua ten Brink
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Roy, Kiesel, Keegan & DeNicola (R. Bennett Ford)
Signature	
Date	June 1, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>June 1, 2001</u>			
Typed or printed name	Shontel R. Jarreau		
Signature		Date	June 1, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.